



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Brett Masters, et al. ✓
Serial No.: 10/826,952
Filed: April 15, 2004
Entitled: VIBRATION BASED POWER
 GENERATOR
Group Art Unit: 2834
Examiner: J. Waks

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

As listed on the accompanying form PTO-SB-o8, the Applicant hereby calls the examiner's attention to the following information of which he is aware, in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56. Copies of the listed references, other than U.S. patent documents, are enclosed with this Information Disclosure Statement.

U.S. Patents:

6,585,051 5,547,029 5,979,558 6,470,970 6,567,013 6,575,237
6,179,052 5,995,020 6,659,184

Published U.S. Patent applications:

2005/0230973 2005/0051323

Publications:

Journal of Hydraulic Engineering, "Sediment Management with Submerged Vanes. 1: Theory", Vol. 117, dated March 1991

McGraw-Hill, Inc., "Fluid Mechanics", dated 1979, 1986

Other documents:

Office Action for U.S. serial number 10/658,899 dated February 23, 2006

Office Action for U.S. serial number 10/825,350 dated October 31, 2005

Office Action for U.S. serial number 10/825,350 dated March 10, 2006

U.S. patent application serial number 11/346,908 filed February 3, 2006

U.S. patent application serial number 11/346,738 filed February 3, 2006

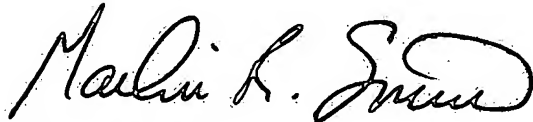
This Information Disclosure Statement is being filed under the provisions of 37 CFR §1.97(b)(3), which provides for the timely filing of an Information Disclosure Statement before the mailing of a first Office Action on the merits.

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Written notification that the listed documents have been considered in their entirety, by return of a copy of the enclosed form completed by the examiner, is respectfully requested.

Respectfully submitted,

SMITH IP SERVICES, P.C.

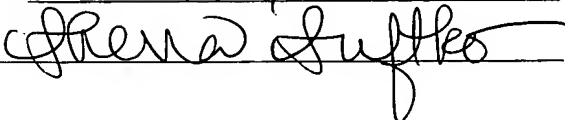


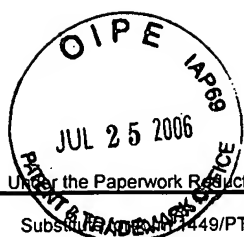
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Substitute Application 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary).

Complete if Known

Application Number	10/826,952
Filing Date	April 15, 2004
First Named Inventor	Brett Masters
Art Unit	2834
Examiner Name	J. Waks
Attorney Docket Number	2003-IP-009957 U1 USA

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,585,051	07-01-2003	Purkis, et al.	
		US- 5,547,029	08-20-1996	Rubbo, et al.	
		US- 5,979,558	11-09-1999	Bouldin, et al.	
		US- 6,470,970	10-29-2002	Purkis, et al.	
		US- 6,567,013	05-20-2003	Purkis, et al.	
		US- 6,575,237	06-10-2003	Purkis, et al.	
		US- 6,179,052	01-30-2001	Purkis, et al.	
		US- 5,995,020	11-30-1999	Owens, et al.	
		US- 6,659,184	12-09-2003	Tips, et al.	
		US- 2005/0230973	10-20-2005	Fripp, et al.	
		US- 2005/0051323	03-10-2005	Fripp, et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	First Named Inventor		Brett Masters
	Art Unit		2834
	Examiner Name		J. Waks
	Attorney Docket Number		2003-IP-009957 U1 USA

Sheet

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of

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Journal of Hydraulic Engineering, "Sediment Management with Submerged Vanes. 1: Theory", Vol. 117, dated March 1991	
		McGraw-Hill, Inc., "Fluid Mechanics", dated 1979, 1986	
		Office Action for U.S. serial number 10/658,899 dated February 23, 2006	
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		U.S. patent application serial number 11/346,908 filed February 3, 2006	
		U.S. patent application serial number 11/346,738 filed February 3, 2006	

Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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